

THE BEST IN EMPLOYEE BENEFIT PLAN REPORTING

EBP AUDITS AND COMPLIANCE AND FORM 5500 COMPLIANCE

WELCOME TO ONE OF OUR SIGNATURE SERVICES

At Vasquez, we have built an outstanding practice specialty dedicated to employee benefit plan audits. We actively work to ensure our clients are in compliance with the latest requirements of the U.S. Department of Labor (DOL). We do so with knowledge gained from our many engagements and participation in the AICPA Employee Benefit Plan Audit center.

LEADERS IN A SPECIALIZED NICHE

Employee Benefit Plan (EBP) audits are the subject of scrutiny by the DOL. You require the expertise that comes from a firm like ours with over 50 years of providing auditing services to small, medium, and large-sized companies and nonprofit organizations. The guidance and knowledge Vasquez provide are essential and important to plan sponsors given their critical fiduciary responsibility.

MORE THAN JUST AN AUDIT REPORT

Vasquez's specialized team of auditors has many years of experience with a wide array of employee benefit plans. This experience provides the industry perspective needed to advise on fiduciary responsibilities, plan qualifications, and reporting alternatives.

YOU DON'T WANT TO CHANCE IT:

A recent DOL-issued report on employee benefit plan audits revealed:

- 61% of audits had minor deficiencies under professional standards
- 39% of the audits included major deficiencies, putting \$653 billion and 22.5 million plan participants and beneficiaries at risk

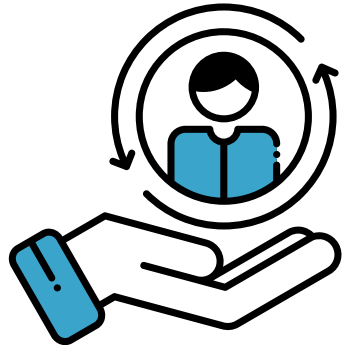
Failing to engage a capable, independent accounting firm to perform your employee benefit plan audit can result in inaccurate reporting and untimely submissions leading to significant penalties.

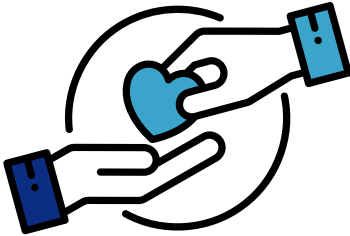
WHY VASQUEZ?

UNPARALLED REPORTING

WE'RE UP ON ALL CHANGES AND TRENDS

Our extensive experience and resources ensure that your employee benefit plan audit will reflect the value of service you deserve with the quality you expect. Our clients have re-engaged and recommended us for our superior service and hands-on partner involvement.





BEST PRACTICES INCLUDE:

- A documented, streamlined audit approach resulting in efficiencies and cost savings
- Inclusion of a comprehensive list of required schedules
- Projection of a timeline from inception to completion
- Continuing professional education specific to employee benefit plans
- Remediating noncompliance

BENEFITS OF WORKING WITH US

- Dedicated Employee Benefit Plan (EBP) audit team with the requisite skills, knowledge, and experience
- Risk-based audit approach
- Meets timelines and deadlines
- Transparent communication
- Early problem-solving to avoid end-of-audit surprises
- Assistance in understanding ERISA requirements
- Early identification of noncompliance issues
- Monitoring of changes in DOL and IRS reporting requirements
- Year-round access to Vasquez engagement partners
- Commitment to regular status meetings with benefit plan representative

VASQUEZ IS KNOWN FOR:

HONESTY, INTEGRITY, PROFESSIONALISM

Vasquez is committed to providing exceptional accounting, auditing, tax, and financial advisory services to help our clients thrive. We foster a positive work environment and we are committed to timelines, responsiveness, and dependable service.

FOCUS ON QUALITY

Vasquez is proud of our Quality Control. There are no complaints filed against us with the California State Board of Accountancy and have been free of negative desk or field reviews from any client's cognizant agencies.

FIFTY YEARS OF EXCEPTIONAL SERVICE

Vasquez has been providing personalized attention to private entities, not-for-profit organizations, and government agencies since 1969. Our seasoned professionals, many with Big Four public accounting experience, deliver innovative yet practical personalized solutions that help clients gain a competitive edge.

Vasquez has invested in programs to keep our clients informed of trends and practices to help them stay compliant.

- Employee Benefit seminars in partnership with other financial service firms on hot topics affecting the industry
- Our e-newsletter Profitable Solutions for Nonprofits
- Our e-newsletter Early Strategies for Private Industry and Early Strategies for Non Profits
- Periodic alerts on EBP reporting topics

VASQUEZ MAINTAINS MEMBERSHIPS AND / OR PARTICIPATION IN:

- American Institute of Certified Public Accountants (AICPA)
 - AICPA Employee Benefit Plan Audit Quality Center
 - AICPA Center for Public Company Audit Firms
 - AICPA Governmental Audit Quality Center
 - AICPA G400 status
- Government Finance Officers Association (GFOA)
- Association of Local Government Auditors (ALGA)
- California Society of Municipal Finance Officers (CSMFO)
- California Society of CPAs
- Public Company Accounting Oversight Board (PCAOB)
- American Council for Engineering Companies
- RSM US Alliance

LEADERSHIP

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