



VASQUEZ  
+ COMPANY LLP



## EMPLOYEE BENEFIT PLANS

Seeking an audit or Form 5500 preparation services for your employee benefit plan? Looking to ensure your Plan's compliance with the U.S. Department of Labor (DOL) and Internal Revenue Service (IRS) regulatory requirements?

**Welcome to Vasquez + Company, where Excellence meets Expertise.**

When selecting an accounting firm for your employee benefit plan, expertise is extremely important. While numerous accounting firms offer retirement plan audits, only a select few, like Vasquez, have cultivated a continuously expanding practice dedicated to this specialized area. The guidance and knowledge Vasquez provides are essential to plan sponsors given their critical fiduciary responsibility.

We are dedicated to helping our clients remain compliant with the most current DOL and IRS regulations. Our expertise is honed through numerous engagements and ongoing participation in the AICPA Employee Benefit Plan Audit Quality Center.

Vasquez has experience serving multiple plan types, including **401(k) plans, 403(b) plans, 401(a) plans, Profit-sharing plans, Defined benefit plans, Employee stock option plans (ESOP), Health and welfare plans and Money purchase pension plans.**

At Vasquez, our steadfast commitment is to provide audit services characterized by timely delivery, consistent adherence to high-quality standards, and competitive pricing, thereby ensuring optimal value for our clients.

### THE VASQUEZ ADVANTAGE

- Seasoned team specializing in EBP audits, possessing the necessary credentials, skills, knowledge, and expertise
- Risk-based audit approach
- Strict adherence to engagement schedules and deadlines
- Clear and transparent communication
- Proactive issue resolution to prevent last-minute surprises
- Guidance on understanding Employee Retirement Income Security Act of 1974 (ERISA) requirements
- Early identification of noncompliance issues
- Monitoring of changes in DOL and IRS reporting mandates
- Year-round access to Vasquez engagement partners
- Commitment to regular status meetings

### THE VASQUEZ VALUE-ADDED SERVICES

- **EBP seminars** held in collaboration with other financial service firms, focusing on timely topics impacting the industry.
- Periodic alerts on EBP reporting topics where we **share developments in EBP reporting topics** as they take effect and communicate our perspective to keep you knowledgeable on compliance considerations.

## AFFILIATIONS AND CREDENTIALS

- American Institute of Certified Public Accountants (AICPA)
- AICPA Employee Benefit Plan Audit Quality Center
- AICPA Center for Public Company Audit Firms
- AICPA Governmental Audit Quality Center
- AICPA G400 status
- Government Finance Officers Association (GFOA)
- Association of Local Government Auditors (ALGA)
- California Society of Municipal Finance Officers (CSMFO)
- California Society of CPAs
- American Council for Engineering Companies



Did you know? A recent study conducted by  
DOL's Employee Benefits Security Administration (EBSA) revealed that:

- 30 percent of the audits (3 out of 10) contained major deficiencies with respect to one or more relevant generally accepted auditing standards requirements. This puts \$927 billion and 11.7 million plan participants and beneficiaries at risk.
- Deficiencies were more likely among firms that performed smaller numbers of EBP audits.

Failing to engage a competent, independent accounting firm to conduct your employee benefit plan audit may result in inaccuracies in reporting and delays in submissions, ultimately leading to significant fines and consequences.

## FOR MORE INFORMATION, PLEASE CONTACT:



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## ABOUT VASQUEZ

**Vasquez + Company LLP** has over 50 years of experience in performing audit, tax, accounting, and consulting services for all types of nonprofit organizations, governmental entities, and private companies. We are the largest minority-controlled accounting firm in the United States and the only one to have global operations and certified as MBE with the Supplier Clearinghouse for the Utility Supplier Diversity Program of the California Public Utilities Commission.

At Vasquez, we take pride in our low attrition rate, which is less than half of the CPA industry rate. This demonstrates our commitment to retaining top talent and directly enhances our team's industry insights. With a stable team, we provide continuity and consistency in our projects, meeting our clients' needs with professionals who are deeply familiar with their operations and objectives.