

IT GOVERNANCE & POLICY READINESS ASSESSMENT

As organizations face growing pressure from auditors, regulators, and boards to demonstrate sound IT governance, having a clear picture of where things stand is no longer optional. It is a leadership imperative.

DOES THIS SOUND FAMILIAR?

- IT policies exist on record, but no one is certain they reflect how the organization actually operates today.
- Governance, risk, and compliance functions operate in silos, with little coordination between IT, security, and leadership.
- Accountability for technology decisions is unclear, and risk ownership defaults to IT rather than being shared across the organization.
- Evidence is collected manually, often assembled under pressure when an audit is already underway.
- Leadership lacks a consistent, consolidated view of where the organization stands from a risk and compliance perspective.
- The focus is on passing the next audit, not on maintaining a governance program that holds up year-round.

These are not isolated challenges. They reflect the governance gap most organizations carry without realizing its full cost. When IT policies drift from actual operations and risk ownership falls to IT by default, the consequences are predictable: investments lose alignment with priorities, decisions get made without clear accountability, and regulatory exposure builds quietly until an auditor surfaces it. Without deliberate governance design, the result is a cycle of last-minute evidence gathering and reactive remediation, repeated at the start of every audit cycle.

HOW WE HELP

Vasquez + Company LLP's (Vasquez) IT Governance & Policy Readiness Assessment is a structured, time-bounded engagement built for boards, executives, and operational leaders. Our partners are directly involved throughout, and we begin by evaluating whether your IT policies and standards are complete, current, and reflect how the organization actually operates. We then assess whether governance structures, roles, and accountability frameworks are clearly defined and functioning as intended, or whether risk ownership remains unassigned across teams. From there, we examine how well your current posture aligns with audit, regulatory, and cybersecurity expectations.

Where findings warrant, we move directly into the next phase. We modernize IT policies and procedures, design a governance operating model with defined roles and a RACI framework, and establish a common risk language so leadership receives consistent, consolidated insight. We also build the documentation and evidence foundation for future audit, cybersecurity, and compliance work, and can provide ongoing compliance monitoring for organizations that need continuous support.

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What You Walk Away With

- A prioritized list of governance and policy gaps your leadership team can act on immediately.
- A sequenced remediation roadmap organized by risk level and business impact.
- Updated IT policies that reflect current operations, not historical intent.
- Accountability frameworks that place risk ownership across leadership, not just within IT.
- A common risk language that aligns IT, compliance, security, and leadership.
- A shift from reactive, audit-driven compliance to a proactive, year-round governance posture.



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ABOUT VASQUEZ

Vasquez + Company LLP has over 55 years of experience providing audit, assurance, tax, technology consulting, and advisory services to nonprofit organizations, government entities, and healthcare organizations. We are ranked among the top 1% of accounting firms by the AICPA, with offices across California, Arizona, and Nevada. Our partners are directly involved in every engagement, delivering the depth of a national firm with the hands-on attention your organization deserves.